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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

10 + 59
REFERENCES

Application Number

09/942,976

Filing Date

August 29, 2001

First Named Inventor

David K. YEE

Group Art Unit

To Be Assigned

Examiner Name

To Be Assigned

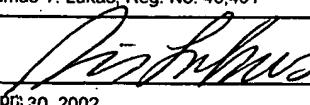
Attorney Docket No.

220772009200

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"> • Form PTO-1449 + copy - 6 pages • Fifty-nine (59) References • Return Receipt Postcard
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<input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages	<input type="checkbox"/> Request for Refund	
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks <div style="border: 1px solid black; width: 100px; height: 40px; margin-top: 5px;"></div>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 Rimas T. Lukas, Reg. No. 46,451
Signature	
Date	April 30, 2002

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Aurelia Caparas

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PATENT
Docket No. 220772009200

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Aurelia Caparas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

David K. YEE et al.

Serial No.: 09/942,976

Filing Date: August 29, 2001

For: CONTROL STRATEGY FOR
FLEXIBLE CATALYTIC
COMBUSTION SYSTEM

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- With the application; accordingly, no fee or separate requirements are required.
- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - A fee is required. A check in the amount of * is enclosed.
 - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

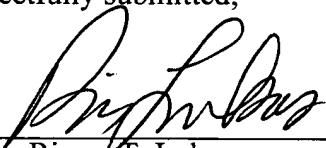
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 220772009200. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 30, 2002

Respectfully submitted,

By: 

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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)



Docket Number 220772009200	Application Number 09/942,976
Applicant	David K. YEE et al.
Filing Date August 29, 2001	Group Art Unit To Be Assigned
Mailing Date April 30, 2002	

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	08/24/1976	3,975,900	Pfefferle			
	2.	09/25/1984	4,473,536	Carberg et al.			
	3.	08/13/1985	4,534,165	Davis, Jr. et al.			
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	6.	11/24/1992	5,165,224	Spadaccini et al.			
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EXAMINER:

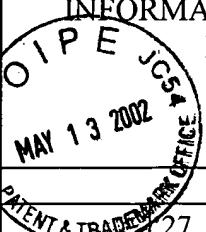
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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)



Docket Number 220772009200 Application Number 09/942,976

Applicant	David K. YEE et al.		
Filing Date	August 29, 2001		
Mailing Date	April 30, 2002		

27.	12/10/1996	5,581,997	Janes			
28.	06/03/1997	5,634,784	Pfefferle et al.			
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32.	08/17/1999	5,937,632	Döbbeling et al.			
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<p>Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i></p>	Docket Number 220772009200		Application Number 09/942,976
	Applicant David K. YEE et al.		
	Filing Date August 29, 2001		Group Art Unit To Be Assigned
	Mailing Date April 30, 2002		



FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	58.	Felder, R.M. and Rousseau, R.W. (1978). "Elementary Principles of Chemical Processes," John Wiley and Sons, New York. page 414.
	59.	Lefebvre, A. H. (September 1998). <u>Gas Trubine Combustion</u> . Hemisphere Publishing Corporation. (Table of Contents). pg.49 and 192.

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